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MAR 18 2002

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PTO/SB/01 (6-95)  
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

0010/PTO  
Rev. 8/95

U.S. Department of Commerce  
Patent and Trademark Office

# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

Attorney Docket  
Number

H 3172 PCT/US

First Named  
Inventor

HECK, Stephan

COMPLETE IF KNOWN

Application Number

09/554,631

Filing Date

07/28/2000

Group Art Unit

Examiner Name

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

UNSATURATED PALM OIL FATTY ALCOHOLS

(Title of the invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

11/09/1998

as United States Application Number or PCT International

Application Number

PCT/EP98/07148

and was amended on (MM/DD/YYYY)

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
197 50 800.6	Germany	11/17/1997	<input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
		<input type="checkbox"/>

Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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## DECLARATION

Page 2

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
	PCT/EP98/07148	11/09/1998	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Firm Name  Customer Number  or label

☒ List Attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
John E. Drach Steven J. Trzaska	32,891 36,296	Aaron R. Ettelman Henry E. Millson, Jr.	42,516 18,980

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☒ Customer Number or label **23657** OR ☒ Fill in correspondence address below

Name	John E. Drach		
Address	Cognis Corporation - Patent Department		
Address	2500 Renaissance Boulevard, Suite 200		
City	Gulph Mills	State	PA
ZIP	19406		
Country	USA	Telephone	610-278-4925
Fax	610-278-6548		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned

Given Name	Stephan	Middle Initial		Family Name	Heck	Suffix e.g. Jr.	
Inventor's Signature	<i>Stephan Heck</i>				Date	June 09, 2000	
Residence: City	Pulheim	State		Country	Germany	Citizenship	Germany
Post Office Address	<del>Aggerstrasse 8</del> Patriziusstr. 12						
Post Office Address							
City	50259 Pulheim	State		Zip		Country	Germany
Applicant Authority							

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name	Norbert	Middle Initial		Family Name	Klein	Suffix e.g. Jr.	
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Inventor's Signature	<i>Norbert Klein</i>	Date	June 08, 2000
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Residence: City	Mettmann	State		Country	Germany	Citizenship	Germany
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Post Office Address	Roemerstrasse 1 h
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Post Office Address	
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City	40822 Mettmann	State		Zip		Country	Germany	Applicant Authority	
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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name	Horst-Dieter	Middle Initial		Family Name	Komp	Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City	Langenfeld	State		Country	Germany	Citizenship	Germany
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Post Office Address	Kolpingstrasse 33
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Post Office Address	
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City	40764 Langenfeld	State		Zip		Country	Germany	Applicant Authority	
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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name	Christiane	Middle Initial		Family Name	Boehr	Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City	Leverkusen	State		Country	Germany	Citizenship	Germany
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Post Office Address	Koelner Strasse 30
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Post Office Address	
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City	51379 Leverkusen	State		Zip		Country	Germany	Applicant Authority	
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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name	Norbert	Middle Initial		Family Name	Huebner	Suffix e.g. Jr.	
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Inventor's Signature	<i>Norbert Huebner</i>	Date	June 08, 2000
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Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany
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Post Office Address	Brockenstrasse 12
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City	40597 Duesseldorf	State		Zip		Country	Germany	Applicant Authority	
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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name	Alfred	Middle Initial		Family Name	Westfechtel	Suffix e.g. Jr.	
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Inventor's Signature	<i>Alfred Westfechtel</i>	Date	June 1. 08, 2000
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Residence: City	Hilden	State		Country	Germany	Citizenship	Germany
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Post Office Address	Menzelweg 74
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Post Office Address	
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City	40724 Hilden	State		Zip		Country	Germany	Applicant Authority	
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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City		State		Country		Citizenship	
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Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City		State		Country		Citizenship	
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Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City		State		Country		Citizenship	
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City		State		Zip		Country		Applicant Authority	
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Type a plus sign (+) inside this box - ☐0010/PTO  
Rev. 6/85U.S. Department of Commerce  
Patent and Trademark Office**DECLARATION FOR  
UTILITY OR DESIGN  
PATENT APPLICATION**☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial FilingAttorney Docket  
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First Named  
Inventor

HECK, Stephan

**COMPLETE IF KNOWN**

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Filing Date

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Group Art Unit

Examiner Name

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(Title of the invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 11/09/1998 as United States Application Number or PCT InternationalApplication Number PCT/EP98/07148 and was amended on (MM/DD/YYYY)                      (if applicable).

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197 50 800.6	Germany	11/17/1997	<input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
		<input type="checkbox"/>

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## DECLARATION

Page 2

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U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
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<input type="checkbox"/> Firm Name		Customer Number or label	
OR			
<input checked="" type="checkbox"/> List Attorney(s) and/or agent(s) name and registration number below:			

Name	Registration Number	Name	Registration Number
John E. Drach	32,891	Aaron R. Ettelman	42,516
Steven J. Trzaska	36,296	Henry E. Millson, Jr.	18,980

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to:	<input checked="" type="checkbox"/> Customer Number or label	23657	OR	<input checked="" type="checkbox"/> Fill in correspondence address below
--------------------------------------	--	-------	----	--

Name	John E. Drach				
Address	Cognis Corporation - Patent Department				
Address	2500 Renaissance Boulevard, Suite 200				
City	Gulph Mills	State	PA	ZIP	19406
Country	USA	Telephone	610-278-4925	Fax	610-278-6548

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned

Given Name	Stephan	Middle Initial		Family Name	Heck	Suffix e.g. Jr.	
Inventor's Signature	<i>Stephan Heck</i>				Date		
Residence: City	Pulheim	State		Country	Germany	Citizenship	Germany
Post Office Address	<del>Aggerstrasse 9</del> Patriziusstr. 12						
Post Office Address							
City	50259 Pulheim	State		Zip		Country	Germany
						Applicant Authority	

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name	Norbert	Middle Initial		Family Name	Klein	Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City	Mettmann	State		Country	Germany	Citizenship	Germany
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Post Office Address	Roemerstrasse 1 h
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Post Office Address	
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City	40822 Mettmann	State		Zip		Country	Germany	Applicant Authority	
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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name	Horst-Dieter	Middle Initial		Family Name	Komp	Suffix e.g. Jr.	
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Inventor's Signature	<i>Horst-Dieter Komp</i>	Date	June 08, 2000
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Residence: City	Langenfeld	State		Country	Germany	Citizenship	Germany
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Post Office Address	Kolpingstrasse 33
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Post Office Address	
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City	40764 Langenfeld	State		Zip		Country	Germany	Applicant Authority	
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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name	Christiane	Middle Initial		Family Name	Boehr	Suffix e.g. Jr.	
------------	------------	----------------	--	-------------	-------	-----------------	--

Inventor's Signature		Date	
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Residence: City	Leverkusen	State		Country	Germany	Citizenship	Germany
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Post Office Address	Koelner Strasse 30
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Post Office Address	
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City	51379 Leverkusen	State		Zip		Country	Germany	Applicant Authority	
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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name	Norbert	Middle Initial		Family Name	Huebner	Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany
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Post Office Address	Brockenstrasse 12
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Post Office Address	
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City	40697 Duesseldorf	State		Zip		Country	Germany	Applicant Authority	
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☒ Additional inventors are being named on supplemental sheet(s) attached hereto

Type a plus sign (+) inside this box

H 3172 PCT/US

# DECLARATION

## ADDITIONAL INVENTOR(S) Supplemental Sheet

Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Given Name	Alfred	Middle Initial		Family Name	Westfechtel	Suffix e.g. Jr.	
------------	--------	----------------	--	-------------	-------------	-----------------	--

Inventor's Signature	<i>Alfred Westfechtel</i>	Date	
----------------------	---------------------------	------	--

Residence: City	Hilden	State		Country	Germany	Citizenship	Germany
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Post Office Address	Menzelweg 74
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Post Office Address	
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City	40724 Hilden	State		Zip		Country	Germany	Applicant Authority	
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Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
------------	--	----------------	--	-------------	--	-----------------	--

Inventor's Signature		Date	
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Residence: City		State		Country		Citizenship	
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Post Office Address	
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Post Office Address	
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City		State		Zip		Country		Applicant Authority	
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Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City		State		Country		Citizenship	
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Post Office Address	
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Post Office Address	
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City		State		Zip		Country		Applicant Authority	
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Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City		State		Country		Citizenship	
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Post Office Address	
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Post Office Address	
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City		State		Zip		Country		Applicant Authority	
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Type a plus sign (+) inside this box - ☐

0010/PTO  
Rev. 6/95

U.S. Department of Commerce  
Patent and Trademark Office

# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing

Attorney Docket Number

H 3172 PCT/US

First Named Inventor

HECK, Stephan

COMPLETE IF KNOWN

Application Number

09/554,631

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Examiner Name

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(Title of the invention)

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I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
197 50 800.6	Germany	11/17/1997	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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## DECLARATION

Page 2

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U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
	PCT/EP98/07148	11/09/1998	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

<input type="checkbox"/> Firm Name		Customer Number or label	
<input checked="" type="checkbox"/> List Attorney(s) and/or agent(s) name and registration number below:			

Name	Registration Number	Name	Registration Number
John E. Drach Steven J. Trzaska	32,891 36,296	Aaron R. Ettelman Henry E. Millson, Jr.	42,516 18,980

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to:	<input checked="" type="checkbox"/> Customer Number or label	23657	OR <input checked="" type="checkbox"/> Fill in correspondence address below
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Name	John E. Drach		
Address	Cognis Corporation - Patent Department		
Address	2500 Renaissance Boulevard, Suite 200		
City	Gulph Mills	State	PA
ZIP	19406		
Country	USA	Telephone	610-278-4925
Fax	610-278-6548		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned

Given Name	Stephan	Middle Initial		Family Name	Heck	Suffix e.g. Jr.	
Inventor's Signature	<i>Stephan Heck</i>				Date		
Residence: City	Pulheim	State		Country	Germany	Citizenship	Germany
Post Office Address	<del>Aggerstrasse 9</del> Patriziusstr. 12						
Post Office Address							
City	60259 Pulheim	State		Zip		Country	Germany
Applicant Authority							

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

Type a plus sign (+) inside this box

H 3172 PCT/US

# DECLARATION

## ADDITIONAL INVENTOR(S) Supplemental Sheet

Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Given Name	Norbert	Middle Initial		Family Name	Klein	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	Mettmann	State		Country	Germany	Citizenship	Germany
Post Office Address	Roemerstrasse 1 h						
Post Office Address							
City	40822 Mettmann	State		Zip		Country	Germany
						Applicant Authority	

Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Given Name	Horst-Dieter	Middle Initial		Family Name	Komp	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	Langenfeld	State		Country	Germany	Citizenship	Germany
Post Office Address	Kolpingstrasse 33						
Post Office Address							
City	40764 Langenfeld	State		Zip		Country	Germany
						Applicant Authority	

Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Given Name	Christiane	Middle Initial		Family Name	Boehr	Suffix e.g. Jr.	
Inventor's Signature	<i>Christiane Bohr</i>					Date	June 08, 2000
Residence: City	Leverkusen	State		Country	Germany	Citizenship	Germany
Post Office Address	Koelner Strasse 30						
Post Office Address							
City	51379 Leverkusen	State		Zip		Country	Germany
						Applicant Authority	

Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Given Name	Norbert	Middle Initial		Family Name	Huebner	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany
Post Office Address	Brockenstrasse 12						
Post Office Address							
City	40597 Duesseldorf	State		Zip		Country	Germany
						Applicant Authority	

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name	Alfred	Middle Initial		Family Name	Westfechtel	Suffix e.g. Jr.	
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Inventor's Signature	<i>Alfred Westfechtel</i>	Date	
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Residence: City	Hilden	State		Country	Germany	Citizenship	Germany
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Post Office Address	Menzelweg 74
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Post Office Address	
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City	40724 Hilden	State		Zip		Country	Germany	Applicant Authority	
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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City		State		Country		Citizenship	
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Post Office Address	
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Post Office Address	
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City		State		Zip		Country		Applicant Authority	
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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City		State		Country		Citizenship	
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City		State		Zip		Country		Applicant Authority	
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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
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Inventor's Signature		Date	
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Residence: City		State		Country		Citizenship	
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Post Office Address	
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Post Office Address	
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City		State		Zip		Country		Applicant Authority	
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☐ Additional inventors are being named on supplemental sheet(s) attached hereto